COMMON TRANSACTION FORM

DISTRIBUTOR / BROKER INFORMATION

Name & Broker Code / ARN / RIA | Sub Broker / Sub Agent ARN Code | *Euin | Internal Code for Sub-broker/ Employee

*Please sign below in case the EUIF is left blank/not provided. We hereby confirm that the EUIF box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

SIGN HERE

First / Sole Applicant / Guardian / Authorised Signatory | Second Applicant / Authorised Signatory | Third Applicant / Authorised Signatory

KYC compliance status (Please *)

1st Applicant | 2nd Applicant | 3rd Applicant | Folio No.

Name of the Sole/ First Unit Holder

TIME STAMPING

ADDITIONAL PURCHASE

(Scheme ID to be drawn in favour of "Name of the Scheme"). In case you do not mention Plan and/or Option units will be allotted under default option as per respective scheme information documents.

Option

PAYMENT MODE

Payment Type

Non-Third Party Payment | Third Party Payment

(Demt Account Details) (Mandatory for units in Demt Mode - Please ensure that the sequence of names as mentioned as given in folio, matches as per the Depository Details.)

NSDL: Depository Participant (DP) ID (NSDL only) | Beneficiary Account Number (NSDL only) | CDSL: Depository Participant (DP) ID (CDSL only)

SWITCH REQUEST

(Refer to the SID of the scheme you are switching from and to)

FROM

Scheme Name | IDFC | Plan | Regular | Direct

Option

Amount (in figures)

TO

Scheme Name | IDFC | Plan | Regular | Direct

Option

Amount (in figures)

DIVIDEND SWEEP OPTION

Scheme Name | IDFC | Plan | Regular | Direct

Option

Amount (in figures)

REDEMPTION

Scheme Name | IDFC | Plan | Regular | Direct

Option

Amount (in figures)

Amount (in words)

We request you to credit my redemption proceeds to the below mentioned Bank A/c (Note: This bank a/c should be one of the multiple bank a/c’s already registered)

Bank A/c No

DECLARATION AND SIGNATURES (Please refer instructions overleaf, before submitting the form.)

I/we have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/we hereby confirm that I/we have not been offered/communicated any indicative portfolio and/or any indicative yield for this investment.

SIGN HERE

First / Sole Applicant / Guardian / Authorised Signatory | Second Applicant / Authorised Signatory | Third Applicant / Authorised Signatory

ACKNOWLEDGEMENT SLIP

Received, subject to realisation, verification and conditions

From

Folio No.

ADDITIONAL PURCHASE | REDEMPTION | SWITCH
A. General Instructions:
1. For subscription transaction, relevant KYC, FATCA, & UBO form should be submitted with this transaction slip, if applicable.
2. KYC & FATCA details needs to be submitted ONLY once under the folio, unless there is a change in record previously submitted
3. FATCA details are required to be submitted, in absence of which the PAN of the investor would become reportable.
4. Please read the Offer document, addendum, KIM and all scheme related information before submitting the Form.
5. As per guidelines issued by SEBI under the ‘Prevention of Money Laundering Act, 2002’, all unitholders should mandatorily be KYC compliant, for subscription or switch of mutual fund units. Hence applications from non-KYC compliant unitholders will not be honoured.
6. Any alteration in the form should be countersigned by the holders, in order to be successfully processed.
7. Where investor has mentioned, ARN/sub-broker/EUIN code of distributor, and selected ‘DIRECT’ plan under scheme option, the application would be processed under Direct plan irrespective of the Distributor information. Further, in a reverse case where an application is received under ‘Regular’ Plan without DIRECT /ARN code being mentioned under ARN column, the application will also be processed as a Direct Application with ‘Direct’ plan as the scheme option.

B. Specific Instructions - Additional Purchase
1. 1st holder should mandatorily be one of the a/c holders in the bank account from where the amount for subscription is being issued. In the case where unit holder name is not present in the payment instruction (cheque/DD/RTGS/NEFT/Fund Transfer advice) the same needs to be evidenced with necessary supporting documents, as required by the AMC
2. Applicant pay-in bank details is mandatory for additional purchase.
3. Subscription vide cash, money orders, postal orders, stock invests, outstation or post-dated cheques and third party payments will not be accepted.

C. Specific Instructions – Additional Purchase through OTM
1. Investors who choose to subscribe through OTM facility registered under their Folio, do not need to provide any pay-in instrument details
2. Bank details are mandatory, if transaction is executed through OTM facility. In case where no bank details are mentioned OR OTM mandate is not registered under the given bank details, default bank mandate under OTM facility will be considered to debit the purchase amount.
3. For transactions above 2 lacs OR subscription in Liquid and Money Market schemes, units will be allotted basis realization of funds (i.e. applicable NAV). The AMC is not liable for any delay in application of NAV, arising out of late credit of proceeds into scheme collection account.
4. Subsequent purchase transactions may be rejected by banker, if the frequency specified in OTM is not opted for “As and when presented”, OR if purchase amount exceeds fixed/maximum amount specified in Mandate.
5. AMC reserves the right to reject or process an application subject to internal verification.

D. Specific Instructions – Redemption/Switch
1. Transaction is liable to rejection in case details pertaining to Folio/scheme/plan/sub option is not mentioned correctly.
2. Successful processing of transactions are subject to availability of clear mention of amount/ units
3. If there is no sufficient balance of amount/units available to match the specified units in the transaction advice, then the free/available units under the scheme will be redeemed/switched.
4. Redemption proceeds will be credited ONLY to the default bank mandate registered OR any of the pre-registered multiple bank accounts (if specified) under the folio, at the time of the transaction.

In case there is any change in your KYC information please update the same by using the prescribed ‘KYC Change Request Form’, available on our website www.idfcfmf.com under the downloads section, and submit the same at the Point of Service of any KYC Registration Agency.